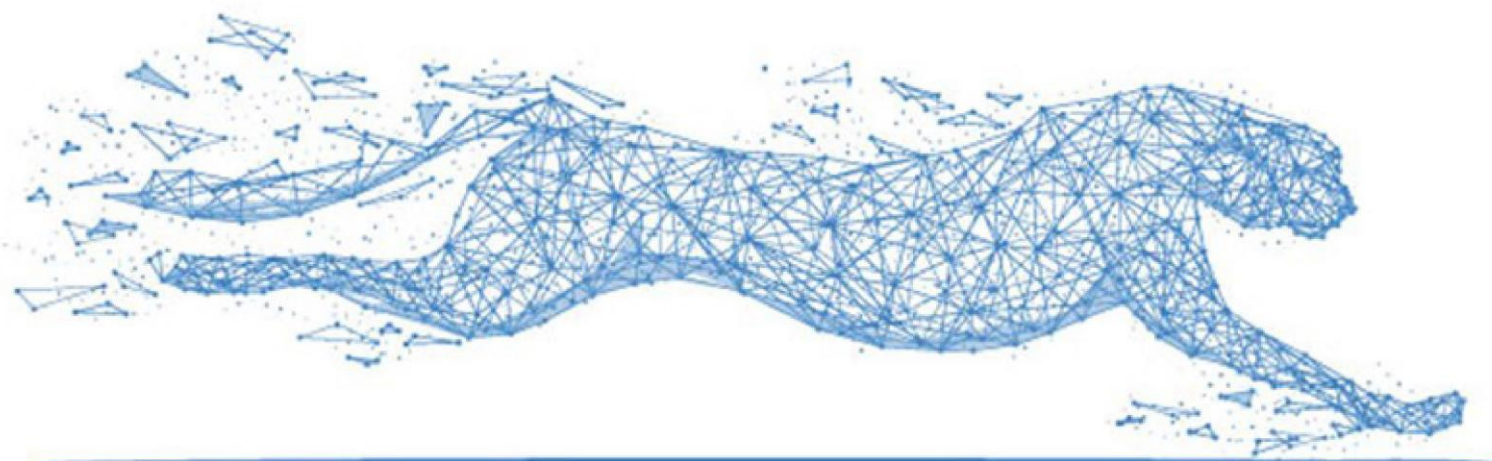


**STATUTORY FINANCIAL ADVISORY AND
INTERMEDIARY SERVICES ACT (“FAIS”)
DISCLOSURE**



Disclosure Notice in terms of Financial Advisory and Intermediary Services Act No 37 2002 "FAIS" of Scope Markets SA (Pty) Ltd relating to the provision of financial services.

Statutory Disclosure in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002 ('FAIS'):

Name of FSP	Scope Markets SA (Pty) Limited
Trading as	Scope Markets
FSP License Number	47025
Registration number	2016/137466/07
Physical Address	Future space- 96 Rivonia Street, Sandton, Gauteng,2196
Postal Address	Future space- 96 Rivonia Street, Sandton, Gauteng,2196
Telephone	+27 (10) 035-4200
Fax number	N/A
Email	customerservice@scopemarkets.co.za
Website	http://scopemarkets.co.za/

Scope Markets SA (Pty) Ltd is authorised in respect of advisory and intermediary services for the following products:

Category Description	Advice	Intermediary
CATEGORY I		
Securities and Instruments: Shares	X	X
Securities and Instruments: Derivatives instruments	X	X

Authorised Representative:

Shaheen Khan is the Key individual and representative of Scope Markets SA (Pty) Ltd, has been authorised to render intermediary services as defined in terms of the FAIS Act in respect of products we are licenced for and meet the prescribed fit and proper requirements.

Scope Markets SA (Pty) Ltd accepts legal responsibility for those activities that the representative performed with the scope of implementing fit employment contract/mandate.

Conflicts of Interest:

Scope Markets SA (Pty) Ltd has a conflicts policy which can be viewed on the website.

Complaints:

In the event that you are dissatisfied with any aspect of our service you may contact the FSP at the address shown at the beginning of this document.

Should you wish to pursue a complaint against the representative of the FSP itself, you should address your complaint in writing to Shaheen Khan, a registered key person of Scope Markets SA (Pty) Ltd again at the address shown at the beginning of this document.

If you cannot settle your complaint with us, you are entitled to refer it to the FAIS Ombud. The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that may have been given to you.

FAIS OMBUD CONTACT DETAILS:	
Postal address	P.O. Box 74571, Lynnwood Ridge, 0040
Telephone	+27 12 762 5000 / +27 12 470 9080
Fax number	+27 86 764 1422 / +27 12 348 3447
Physical Address	Sussex Office Park Ground Floor, Block B 473 Lynnwood Road Cnr Lynnwood Road & Sussex Ave, Lynnwood, 0081
Email	info@faisombud.co.za
Web Site	www.faisombud.co.za

Compliance:

Carol Sithole is the compliance officer for Scope Markets SA (Pty) Ltd. The compliance department can be contacted at: 155 West Street, Sandton, South Africa. E-mail: compliance@scopemarkets.co.za ;Tel: (010) 035 4200; (+27) 83 764 3386

General:

- Scope Markets SA (Pty) holds professional indemnity insurance underwritten by Aon South Africa (Pty) Ltd.
- Please note that you will be asked to acknowledge receipt of this document at the account opening stage thereby acknowledging and agreeing to be bound by the contents herein and we therefore require you read it carefully.